



COPY OF PAPERS
ORIGINALLY FILED

Receipt

PATENT
Docket No. 226272003310

#7
CFE
(Process.)

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on May 20, 2002.

Tiffany Dolmseth
Tiffany Dolmseth

10/22/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Edward M. ATKINSON and Ian L.
ARANHA

Serial No.: 10/016,767

Filing Date: October 30, 2001

For: METHODS FOR GENERATING HIGH
TITER HELPER-FREE
PREPARATIONS OF RELEASED
RECOMBINANT AAV VECTORS

Examiner: To Be Assigned

Group Art Unit: 1648

RECEIVED

JUN 24 2002

TECH CENTER 1600/2900

COMMUNICATION AND REQUEST FOR A CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Dear Sir:

This Communication and Request is in response to the "Response to Request for Corrected Filing Receipt" mailed from the United States Patent and Trademark Office on April 24, 2002. Attached is a Supplemental Application Data Sheet supplying the information requested in the Response. Also attached is a marked-up copy of the original Application Data Sheet.

Accordingly, please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

pa-693679

1. In the Domestic Priority data as claimed by applicant, please replace

“THIS APPLICATION IS A DIV OF 09/526,333 03/15/2000
WHICH IS A CIP OF 09/142,474 09/04/1998 ABN
WHICH IS A 371 OF PCT/US98/18600 09/04/1998
WHICH CLAIMS BENEFIT OF 60/071,733 01/16/1998
AND CLAIMS BENEFIT OF 60/084,193 09/05/1997
AND CLAIMS BENEFIT OF 60/123,685 03/10/1999”

with

--THIS APPLICATION IS A DIV OF 09/526,333 03/15/2000
WHICH IS A CIP OF 09/142,474 09/04/1998 ABN
WHICH IS A 371 OF PCT/US98/18600 09/04/1998
WHICH CLAIMS BENEFIT OF 60/071,733 01/16/1998
AND CLAIMS BENEFIT OF 60/084,193 09/05/1997
THIS APPLICATION ALSO IS A CONTINUATION-IN-PART OF PCT/US99/20524
09/07/1999
WHICH CLAIMS BENEFIT OF 60/123,685 03/10/1999
AND WHICH ALSO IS A CONTINUATION-IN-PART OF 09/142,474
09/04/1998--

2. Under Foreign Applications, please delete “PCT/US99/20524 09/07/1999”

A marked-up copy of the first page of the Filing Receipt is enclosed.

Applicants believe no fee is required. However, in the event that the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing docket no. 226272003310.

Respectfully submitted,

Dated: May 20, 2002

By: Catherine M. Polizzi
Catherine M. Polizzi
Registration No. 40,130

Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: (650) 813-5651
Facsimile: (650) 494-0792

COPY OF PAPERS
ORIGINALLY FILED

COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 10/016,767 | 10/30/2001 | 1648 | 865 | 226272003310 | 44 | 75 | 3 |

RECEIVED

CONFIRMATION NO. 3324

FILING RECEIPT



OC000000007531875

Catherine M. Polizzi
Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304-1018

FEB 28 2002

Morrison & Foerster, LLP
Palo Alto

Date Mailed: 02/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Edward M. Atkinson, Seattle, WA;
Ian L. Aranha, Seattle, WA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/526,333 03/15/2000
WHICH IS A CIP OF 09/142,474 09/04/1998 ABN
WHICH IS A 371 OF PCT/US98/18600 09/04/1998
WHICH CLAIMS BENEFIT OF 60/071,733 01/16/1998
AND CLAIMS BENEFIT OF 60/084,193 09/05/1997
WITH ~~AND CLAIMS BENEFIT OF 60/123,685 03/10/1999~~

This application also is a continuation-in-part of PCT/US99/20524 09/07/1999

And which also is a continuation-in-part of 09/142,474 09/04/1998

Foreign Applications

~~PCT/US99/20524 09/07/1999~~

If Required, Foreign Filing License Granted 02/22/2002

Projected Publication Date: 06/06/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

RECEIVED

JUN 24 2002

RECEIVED

TECH CENTER 1

JUN 24 2002

TECH CENTER 1600/2900

DOCKETED

(MC)

MAY 31 2002

COPY OF PAPERS
ORIGINALLY FILED

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/02. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

9

Application Number

10/016,767

Filing Date

October 30, 2001

First Named Inventor

Edward M. ATKINSON

Examiner Name

To Be Assigned

Group Art Unit

1648

Attorney Docket No.

226272003310

ENCLOSURES (check all that apply)☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declarations☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ After Allowance Communication to
Group☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify
below):

1. Communication and Request for a
Corrected Filing Receipt - 3 pages
2. Supplemental Application Data Sheet -
2 pages
3. Copy of original Application Data Sheet -
2 pages
4. Marked-up copy of the first page of the
Filing Receipt - 1 page
5. Return postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018

or

Individual Name

Catherine M. Polizzi (Registration No. 40,130)

Signature

Date

May 20 2002

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on May 20 2002.

Tiffany Dolmseth

*Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.